

Guideline for the Prevention of Falls in Older Persons

American Geriatrics Society, British Geriatrics Society, and American Academy of Orthopaedic Surgeons Panel on Falls Prevention

Key words: falls; risk of falling; fall assessment; fall intervention; fall prevention

BACKGROUND AND SIGNIFICANCE

Falls are among the most common and serious problems facing elderly persons. Falling is associated with considerable mortality, morbidity, reduced functioning, and premature nursing home admissions.¹⁻⁵ Falls generally result from an interaction of multiple and diverse risk factors and situations, many of which can be corrected. This interaction is modified by age, disease, and the presence of hazards in the environment.⁶ Frequently, older people are not aware of their risks of falling, and neither recognize risk factors nor report these issues to their physicians. Consequently opportunities for prevention of falling are often overlooked with risks becoming evident only after injury and disability have already occurred.⁷⁻⁹

Both the incidence of falls and the severity of fall-related complications rise steadily after age 60. In the age 65-and-over population as a whole, approximately 35% to 40% of community-dwelling, generally healthy older persons fall annually. After age 75, the rates are higher.^{10,11}

Incidence rates of falls in nursing homes and hospitals are almost three times the rates for community-dwelling persons age ≥ 65 (1.5 falls per bed annually). Injury rates are also considerably higher with 10% to 25% of institutional falls resulting in fracture, laceration, or the need for hospital care.¹² Fall-related injuries recently accounted for 6% of all medical expenditures for persons age 65 and older in the United States.^{12,13}

A key concern is not simply the high incidence of falls in older persons (young children and athletes have an even higher incidence of falls) but rather the combination of high incidence and a high susceptibility to injury. This pro-

pensity for fall-related injury in elderly persons stems from a high prevalence of comorbid diseases (e.g., osteoporosis) and age-related physiological decline (e.g., slower reflexes) that make even a relatively mild fall potentially dangerous. Approximately 5% of older people who fall require hospitalization.¹⁴

Unintentional injuries are the fifth leading cause of death in older adults (after cardiovascular, neoplastic, cerebrovascular, and pulmonary causes), and falls are responsible for two-thirds of the deaths resulting from unintentional injuries. More pointedly, 75% of deaths due to falls in the United States occur in the 13% of the population age 65 and over.¹⁵ In addition to physical injury, falls can also have psychological and social consequences. Recurrent falls are a common reason for admission of previously independent elderly persons to long-term care institutions.^{16,17} One study found that falls were a major reason for 40% of nursing home admissions.¹⁴ Fear of falling and the post-fall anxiety syndrome are also well recognized as negative consequences of falls. The loss of self-confidence to ambulate safely can result in self-imposed functional limitations.^{1,18}

RISK FACTORS FOR FALLING

As detailed in Table 1, a number of studies have identified risk factors for falling. These can be classified as either intrinsic (e.g., lower extremity weakness, poor grip strength, balance disorders, functional and cognitive impairment, visual deficits) or extrinsic (e.g., polypharmacy (i.e., four or more prescription medications) and environmental factors such as poor lighting, loose carpets, and lack of bathroom safety equipment). Although investigators have not used consistent classifications, a recent review of fall risk factor studies ranked the risk factors and summarized the relative risk of falls for persons with each risk factor (Table 1).¹¹ In addition, a meta-analysis that studied the relationship of falls and medications, which included studies that examined both multiple and single risk factors, found a significantly increased risk from psychotropic medication (odds ratio (OR) = 1.7), Class 1a antiarrhythmic medications (OR = 1.6), digoxin (OR = 1.2), and diuretics (OR = 1.1).³²

Perhaps as important as identifying risk factors is appreciating the interaction and probable synergism between multiple risk factors. Several studies have shown that the risk of falling increases dramatically as the number of risk factors increases. Tinetti et al. surveyed community-dwell-

This guideline was developed and written under the auspices of the American Geriatrics Society (AGS) Panel on Falls in Older Persons and approved by the AGS Board of Directors on April 5, 2001.

Address correspondence and reprint requests to: Nancy Lundebjerg, Senior Director, Professional Education and Publications, American Geriatrics Society, 350 Fifth Avenue, Suite 801, New York, NY 10118.

Table 1. Results of Univariate Analysis* of Most Common Risk Factors for Falls Identified in 16 Studies* That Examined Risk Factors

Risk Factor	Significant/Total†	Mean	Range
		RR-OR‡	
Muscle weakness	10/11	4.4	1.5–10.3
History of falls	12/13	3.0	1.7–7.0
Gait deficit	10/12	2.9	1.3–5.6
Balance deficit	8/11	2.9	1.6–5.4
Use assistive device	8/8	2.6	1.2–4.6
Visual deficit	6/12	2.5	1.6–3.5
Arthritis	3/7	2.4	1.9–2.9
Impaired ADL	8/9	2.3	1.5–3.1
Depression	3/6	2.2	1.7–2.5
Cognitive impairment	4/11	1.8	1.0–2.3
Age >80 years	5/8	1.7	1.1–2.5

*References: 3, 5, 19–31.

†Number of studies with significant odds ratio or relative risk ratio in univariate analysis/total number of studies that included each factor.

‡Relative risk ratios (RR) calculated for prospective studies. Odds ratios (OR) calculated for retrospective studies.

ADL = activities of daily living.

ing elderly persons and reported that the percentage of persons falling increased from 27% for those with no or one risk factor to 78% for those with four or more risk factors.³⁰ Similar results were found among an institutionalized population.⁵ In another study, Nevitt et al. reported that the percentage of community-living persons with recurrent falls increased from 10% to 69% as the number of risk factors increased from one to four or more.²⁷ Robbins et al. used multivariate analysis to simplify risk factors so that maximum predictive accuracy could be obtained by using only three risk factors (i.e., hip weakness, unstable balance, taking ≥ 4 medications) in an algorithm format. With this model, the predicted 1-year risk of falling ranged from 12% for persons with none of the three risk factors to 100% for persons with all three.³

There is emerging evidence of an overlap between the symptoms of falls and syncope in some older adults. This is due either to amnesia for loss of consciousness or to hypotension-induced imbalance in persons with existing gait and balance instability. To date, the overlap has been reported in selected populations with bradycardiac disorders such as carotid sinus syndrome. The prevalence of cardiovascular causes of falls in the general population is as yet unknown.

GUIDELINE DEVELOPMENT PROCESS AND METHODS

The aim of this guideline is to assist health care professionals in their assessment of fall risk and in their management of older patients who are at risk of falling and those who have fallen. The Panel on Falls Prevention assumes that health care professionals will use their clinical knowledge and judgment in applying the general principles and specific recommendations of this document to the assessment and management of individual patients. Decisions to adopt any particular recommendation must be made by the practitioner in light of available evidence and resources.

The literature search attempted to locate systematic reviews and meta-analyses, randomized trials, controlled

before-and-after studies, and cohort studies using a combination of subject heading and free text searches. The panel made extensive use of high-quality recent review articles and bibliographies, as well as contact with subject area experts. New searches were concentrated in areas of importance to the guideline development process, for which existing systematic reviews were unable to provide valid or up-to-date answers. The expert knowledge and experience of panel members also reinforced the search strategy. It is important to note that the literature upon which the guideline is based includes only those articles that were available to the Panel during its September 2000 meeting.

A literature search conducted by researchers at the RAND Corporation (RAND Corporation, Santa Monica, CA) for the purpose of identifying quality of care indicators for falls and mobility problems for two ongoing national projects provided the initial set of articles reviewed for the guideline. “Included” articles were meta-analyses and systematic literature reviews, randomized controlled trials, nonrandomized clinical trials, case control studies, and cohort studies in which outcomes involved data related to fall risk or fall prevention as well as articles that provided epidemiological or other background information. For each included article, data were extracted. Reference lists of included articles were scanned for any additional relevant studies, and further relevant articles were identified.

The Panel identified and synthesized relevant published evidence to allow recommendations to be evidence-based, whenever possible, using the grading criteria shown in Table 2. The grading criteria distinguish between category of evidence and strength of the associated recommendation. It was possible to have methodologically sound (Class I) evidence about an area of practice that was clinically irrelevant or had such a small effect that it was of little practical importance and would, therefore, attract a lower strength of recommendation. More commonly, a statement of evidence would only cover one part of an area in which a recommendation had to be made or would cover it in a way that conflicted with other evidence. Therefore, to produce comprehensive recommendations, the Panel had to extrapolate from the available evidence. This may lead to weaker levels of recommendation (B, C, or D) based on evidence Class I statements.³³ This is inevitably a subjective process.

It was accepted that there would be areas without evidence where recommendations should be made and that consensus would be required to address such areas. For a number of the interventions, there was not sufficient evidence to make recommendations and “Comment” sections were written. Throughout the guideline development process, the Panel identified important unanswered research questions that are listed in the “Research Agenda” section at the end of this guideline.

ASSESSMENT OF PERSONS WHO HAVE FALLEN OR ARE AT RISK OF FALLING

General Principles

It is a fundamental tenet of this guideline, based on a number of controlled studies, that detecting a history of falls and performing a fall-related assessment are likely to reduce future probability of falls when coupled with intervention (see Interventions to Prevent Falls, below). Because

Table 2. Categories of Evidence and Strength of Recommendation

Categories of Evidence

Class I: Evidence from at least one randomized controlled trial or a meta-analysis of randomized controlled trials.

Class II: Evidence from at least one controlled study without randomization or evidence from at least one other type of quasi-experimental study.

Class III: Evidence from nonexperimental studies, such as comparative studies, correlation studies and case-control studies.

Class IV: Evidence from expert committee reports or opinions and/or clinical experience of respected authorities.

Strength of Recommendation

A: Directly based on Class I evidence.

B: Directly based on Class II evidence or extrapolated recommendation from Class I evidence.

C: Directly based on Class III evidence or extrapolated recommendation from Class I or II evidence.

D: Directly based on Class IV evidence or extrapolated recommendation from Class I, II, or III evidence.

of this dependence of the assessment on subsequent intervention for effectiveness, it was more difficult to ascribe strength of recommendation to assessment recommendations alone. Therefore, specific recommendations for assessment have been left ungraded. Likewise, prior to any intervention, assessment of an individual's risks and deficits is required to determine specific needs and, if necessary, to deliver targeted interventions.

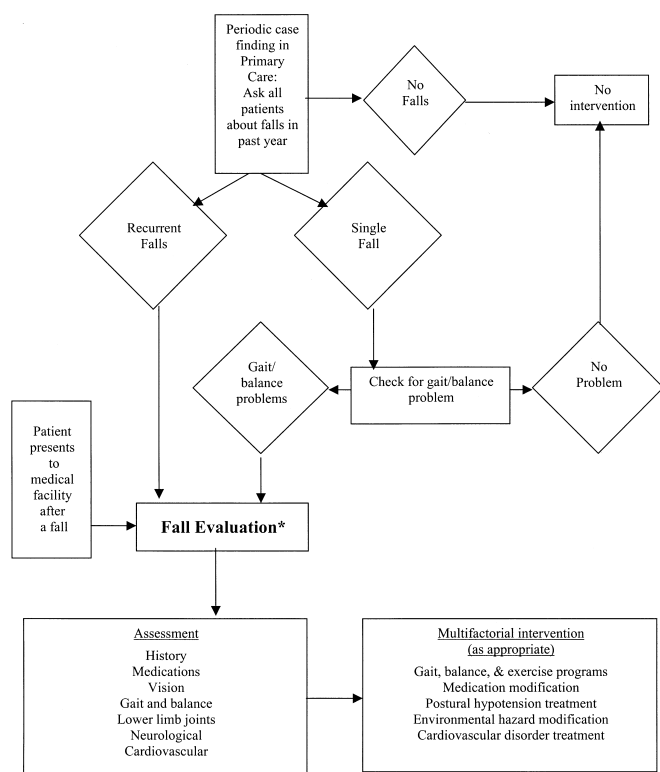
The recommendations for assessment came from epidemiological studies demonstrating an association between risk factors and falls (see Background and Significance) and from experimental studies in which assessment followed by intervention demonstrated benefit (see Interventions to Prevent Falls, below). Thus, the suggested assessment describes what

needs to be done to understand an individual's risk factors and apply an effective intervention(s). An algorithm summarizing the assessment and management of falls is shown in Figure 1.

The intensity of assessment varies by target population. For example, fall risk assessment as part of routine primary health care visits with relatively low-risk senior populations would involve a brief assessment. In contrast, high-risk groups—such as persons with recurrent falls, those living in a nursing home, persons prone to injurious falls, or persons presenting after a fall—would require a more comprehensive and detailed assessment. The essential elements of any fall-related assessment include details about the circumstances of the fall (including a witness account), identification of the subject's risk factors for falls, any medical comorbidity, functional status, and environmental risks. A comprehensive assessment may necessitate referral to a specialist (e.g., geriatrician).

Although development of this guideline is a joint project of two American organizations (the American Geriatrics Society and the American Academy of Orthopaedic Surgeons) and the British Geriatrics Society, the epidemiology of falls is largely based on North American data, and there are little data to inform the appropriate configuration of services within the United Kingdom National Health Service. In particular, the balance between the benefits of assessment and intervention, set against the workload and cost implications of a potential increase in referral for specialist assessment, is unclear and would need to be carefully planned when implementing this guideline within any local setting.

The risk factors identified in the assessment may be modifiable (such as muscle weakness, medication side effect, or hypotension) or nonmodifiable (such as hemiplegia or blindness). However, knowledge of all risk factors is important for treatment planning. Essential components of the fall-related patient assessment were identified whenever possible from successful controlled trials of fall-prevention interventions. The justification for assessment to identify a specific risk factor is strongest when successful treatment or other risk-reduction strategies have been explicitly based on this specific risk factor. In some cases, the link between identified risk factors and the content of interventions is not clear. When conclusive data on the importance of specific aspects of the assessment (either to prediction of falls or to responsiveness of these risk factors to the intervention) were not available, consensus from the Panel was sought.



*See text for details

Figure 1. Algorithm summarizing the assessment and management of falls.

Specific Recommendations: Assessment

Approach to Older Persons as Part of Routine Care (Not Presenting After a Fall)

1. All older persons who are under the care of a health professional (or their caregivers) should be asked at least once a year about falls.
2. All older persons who report a single fall should be observed as they stand up from a chair without using their arms, walk several paces, and return (i.e., the “Get Up and Go Test”).^{34,35} Those demonstrating no difficulty or unsteadiness need no further assessment.
3. Persons who have difficulty or demonstrate unsteadiness performing this test require further assessment.

Approach to Older Persons Presenting with One or More Falls or, Have Abnormalities of Gait and/or Balance, or Who Report Recurrent Falls

1. Older persons who present for medical attention because of a fall, report recurrent falls in the past year, or demonstrate abnormalities of gait and/or balance should have a fall evaluation performed. This evaluation should be performed by a clinician with appropriate skills and experience, which may necessitate referral to a specialist (e.g., geriatrician).
2. A fall evaluation is defined as an assessment that includes the following: a history of fall circumstances, medications, acute or chronic medical problems, and mobility levels; an examination of vision, gait and balance, and lower extremity joint function; an examination of basic neurological function, including mental status, muscle strength, lower extremity peripheral nerves, proprioception, reflexes, tests of cortical, extrapyramidal, and cerebellar function; and assessment of basic cardiovascular status including heart rate and rhythm, postural pulse and blood pressure and, if appropriate, heart rate and blood pressure responses to carotid sinus stimulation.

INTERVENTIONS TO PREVENT FALLS

General Principles

The literature identified for this part of the guideline was heterogeneous across most dimensions. This heterogeneity precluded the use of meta-analytic techniques and dictated the use of narrative summary. Again, the Panel identified and synthesized relevant published evidence according to the grading criteria shown in Table 2.

The populations included in the studies varied from fit older persons who had not fallen, those at risk for falls, and those experiencing single or frequent falls. The cognitive status of the study population was not reported consistently. Study environments included community settings (the majority), long-term care facilities, and acute hospital units. The method of reporting the effect of interventions on falls also varied across studies. The system used most commonly reported the total number of falls during a given interval following randomization. Other methods included reporting the number of fallers or the time to the first fall event. Evidence for compliance with the intervention(s) was not always reported. Methods for documenting fall outcomes also varied. The most frequently used

method was calendar/diary cards. Other methods included telephone or personal interviews.

Most studies evaluating multifactorial interventions were conducted in community settings. The individual elements of the interventions were described inconsistently and, as a consequence of the study designs, it was not possible to determine which components were effective. However, by examining at the components of studies with and without an overall positive effect, it was possible to identify specific interventions that were used more commonly in positive studies. The multifactorial intervention studies were considered for the different settings in which participants resided: community-based, long-term care, and in-hospital studies.

The intervention strategies that were evaluated for their effectiveness in preventing falls were classified as single or multifactorial strategies and as generic or individually designed. The recommendations are presented for multifactorial interventions followed by single interventions because this sequence reflects the underlying evidence.

Specific Recommendations: Multifactorial Interventions

1. Among *community-dwelling* older persons (i.e., those living in their own homes), multifactorial interventions should include: gait training and advice on the appropriate use of assistive devices (B); review and modification of medication, especially psychotropic medication (B); exercise programs, with balance training as one of the components (B); treatment of postural hypotension (B); modification of environmental hazards (C); and treatment of cardiovascular disorders, including cardiac arrhythmias (D).
2. In *long-term care and assisted living settings*, multifactorial interventions should include: staff education programs (B); gait training and advice on the appropriate use of assistive devices (B); and review and modification of medications, especially psychotropic medications (B).
3. The evidence is insufficient to make recommendations for or against multifactorial interventions in *acute hospital settings*.

Community-Based Studies

There were 11 randomized controlled studies of community-dwelling older adults.^{36–46} The elements of the multifactorial interventions included education programs, self-management programs, home environment modifications, advice about medication use (with or without subsequent modification of medications), exercise, medical assessment, and management of cardiovascular disorders (such as postural hypotension and carotid sinus syndrome).

Reductions in the number and dosages of prescribed medications were associated with benefit in all three studies that included this intervention (Class I).^{36,37,43} However, medication review without subsequent direct efforts to modify medications was of no benefit in three^{38,39,45} of four⁴⁶ studies (Class I).

Exercise programs were associated with benefit in all three studies that included this intervention (Class I).^{36,41,43}

Medical assessment followed by specific interventions for any medical problems that were identified (including

cardiovascular disorders and visual problems) was beneficial in one study (Class I).³⁷ Referral for medical assessment was of benefit in two^{37,46} of three⁴⁵ studies (Class I). In addition, the management of postural hypotension was part of the effective intervention in two studies (Class I).^{37,44}

Evidence of benefit from modification of home environmental hazards was equivocal in one⁴³ study and of no benefit in a second⁴⁵ (Class I).

Staff education programs were not effective in reducing falls (Class I).³⁸ Self-management programs were not beneficial in the five studies in which they were reported (Class I).^{38–41,45}

Advice alone about fall risk factor modification (without measures to implement recommended changes) was of equivocal benefit in three^{37,41,46} and of no benefit in two^{39,40} studies (Class I).

Long-Term Care-Based Studies

There were two randomized controlled studies in long-term care settings.^{47,48} Both showed overall benefit from multifactorial interventions, although only one⁴⁷ study documented significant reductions in subsequent falls. (Class I). The effective components appeared to be comprehensive assessment, staff education (in contrast to community settings), assistive devices, and reduction of medications.

In-Hospital-Based Studies

Although the strategy is widely implemented, there are no adequate randomized controlled trials of multifactorial intervention studies to reduce falls among hospital inpatients.⁴⁹

Specific Recommendations: Single Intervention

Exercise

1. Although exercise has many proven benefits, the optimal type, duration and intensity of exercise for falls prevention remain unclear (B).
2. Older people who have had recurrent falls should be offered long-term exercise and balance training (B).
3. Tai Chi C'uan is a promising type of balance exercise, although it requires further evaluation before it can be recommended as the preferred balance training (C).

The Panel made a number of general observations about exercise. There is good evidence of benefit from exercise in falls prevention. However, the Panel was unable to determine which configuration of exercise program to recommend. The Panel identified a number of key findings: the evidence is strongest for balance training; there is less evidence for resistance and aerobic training; there are little data regarding the intensity or type of exercise. Successful programs have consistently been over 10 weeks duration. Exercise needs to be sustained for sustained benefit. There is only preliminary evidence to support the use of Tai Chi C'uan. There is a dearth of studies involving men. In long-term care settings, there is no evidence of benefit for exercise alone.

Among relatively healthy, community-dwelling older people, a program of very intensive strength and endurance training reduced the risk of subsequent falls and the

proportion of fallers (Class I).⁵⁰ In another study involving community-dwelling women, there was no evidence that a generic exercise program reduced falls (Class I).⁵¹ In young elderly, community-dwelling women, frequent low-impact weight-bearing exercises, and calcium supplementation over a 2-year period did not significantly reduce falls (Class I).⁵² In community-dwelling older women, individually designed exercise programs in the home that incorporated strength and balance training reduced both falls and injuries; for those who continued to exercise, the benefits were evident after a 2-year period (Class I).⁵³ In the Frailty and Injuries: Cooperative Studies of Intervention Techniques (FICSIT) meta-analysis of seven studies that featured exercise as a prominent part of multifactorial interventions, there was an overall significant reduction in falls among intervention subjects, although only three of the seven individual trials showed significant reductions (Class I).⁵⁴ In a randomized trial of a group exercise program held thrice weekly for fall-prone older men, there was improvement in strength, endurance, gait, and function as well as reduced fall rates adjusted for increased levels of activity (Class I).⁵⁵

In community-dwelling women at moderate risk of falls, Tai Chi C'uan reduced the rate of falls during a short follow-up period of 4 months (Class I).⁵⁶ In the same population, a computerized balance training program did not reduce falls (Class I).⁵⁶

Among older women who had recurrent falls, a course of physical therapy targeting strength and balance was effective in reducing falls,⁵⁷ while a community-based generic exercise program in older men was of no benefit in falls reduction (Class I).^{55,58} An individually designed exercise program for nursing home patients with moderate dementia did not reduce falls (Class I).⁵⁹

Environmental Modification

1. When older patients at increased risk of falls are discharged from the hospital, a facilitated environmental home assessment should be considered (B).

In a subgroup of older patients, a facilitated home modification program after hospital discharge was effective in reducing falls (Class I).⁶⁰ Otherwise, modification of home environment without other components of multifactorial intervention was not beneficial (Class I).^{61–65}

Medications

1. Patients who have fallen should have their medications reviewed and altered or stopped as appropriate in light of their risk of future falls. Particular attention to medication reduction should be given to older persons taking four or more medications and to those taking psychotropic medications. (C)

For all settings (i.e., community, long-term care, hospital, and rehabilitation), there is a consistent association between psychotropic medication use (i.e., neuroleptics, benzodiazepines, and antidepressants) and falls. Although there are no randomized controlled studies of manipulation of medication as a sole intervention, reduction of medications was a prominent component of effective fall-reducing interventions in community-based and long-term care multifactorial studies (Class I).^{36,37,43,46,47} Multifac-

rial studies suggest that a reduction in the number of medications in patients who are taking more than four preparations is beneficial. There is no clear difference in the risk for falls between long- and short-acting benzodiazepines (Class II).³² Compliance with intervention needs to be sustained to be effective.

Assistive Devices

1. Studies of multifactorial interventions that have included assistive devices (including bed alarms, canes, walkers (Zimmer frames), and hip protectors) have demonstrated benefit. However, there is no direct evidence that the use of assistive devices alone will prevent falls. Therefore, while assistive devices may be effective elements of a multifactorial intervention program, their isolated use without attention to other risk factors cannot be recommended (C).

There are few studies evaluating the effect of assistive devices (such as canes and walkers) as an intervention for preventing falls (Class IV).⁶⁶ Among hospitalized patients there is insufficient evidence for or against the use of bed alarms (Class I).⁶⁷

Hip protectors do not appear to affect the risk of falling (Class I).⁶⁸ However, there are a number of studies, including three randomized trials, that strongly support the use of hip protectors for prevention of hip fractures in high-risk individuals. The Panel refers the reader to the published guidelines on the treatment and prevention of osteoporosis.^{69,70}

Behavioral and Educational Programs

1. Although studies of multifactorial interventions that have included behavioral and educational programs have demonstrated benefit, when used as an isolated intervention, health or behavioral education does not reduce falls and should not be done in isolation (B).

A structured group educational program among community-dwelling older people did not reduce the number of falls but did achieve short-term benefits in attitudes and self-efficacy (Class I).⁷¹ Practice guidelines in the emergency department did not alter documentation of falls risk factors, causes of falls, consequences of falls, or the implementation of practice guidelines (Class I).^{72,73}

Comments on Other Potential Interventions

Bone Strengthening Medications

A number of medications used widely to prevent or treat osteoporosis (e.g., hormone replacement therapy (HRT), calcium, vitamin D, antiresorptive agents) reduce fracture rates. However, these agents do not reduce rates of falls per se. Given the wealth of information concerning HRT and vitamin D in osteoporotic fractures, including ample prior analyses and practice guidelines, the Panel refers the reader to published guidelines on HRT for osteoporosis.^{69,70,74}

Cardiovascular Intervention

There is emerging evidence that some falls have a cardiovascular cause that may be amenable to intervention strategies often directed to syncope, such as medication change

or cardiac pacing. The role of these cardiac investigations and treatments is not yet clear.

Case series report an overlap of symptoms of falls and syncope and a causal association between some cardiovascular disorders and falls, particularly orthostatic hypotension, carotid sinus syndrome, and vasovagal syndrome.^{75–80} In particular, up to 30% of older patients with carotid sinus syndrome present with falls and have amnesia for loss of consciousness when bradyarrhythmia is induced experimentally.^{81,82} Preliminary studies suggest that patients with recurrent unexplained falls and a bradycardiac response to carotid sinus stimulation experience fewer falls after implantation of a permanent cardiac pacemaker. However, pending the results of an ongoing randomized trial, pacemaker therapy for the treatment of recurrent falls cannot be recommended at this time.

Visual Intervention

Patients should be asked about their vision and if they report problems, their vision should be formally assessed, and any remediable visual abnormalities should be treated.

There are no randomized controlled studies of interventions for individual visual problems despite a significant relationship between falls, fractures, and visual acuity.⁸³ Fall-related hip fractures were higher in patients with visual impairment.⁸⁴ Visual factors associated with two or more falls included poor visual acuity, reduced contrast sensitivity, decreased visual field, posterior subcapsular cataract, and nonmiotic glaucoma medication.^{83–85}

Footwear Interventions

Because there are no experimental studies of footwear examining falls as an outcome, the Panel is not able to recommend specific footwear changes to reduce falls. However, some trials report improvement in intermediate outcomes, such as balance and sway from specific footwear intervention. In women, results of functional reach and timed mobility tests were better when subjects wore walking shoes than when they were barefoot.⁸⁶ Static and dynamic balance were better in low-heeled rather than high-heeled shoes or than the patient's own footwear.⁸⁷ In men, foot position awareness and stability were best with high mid-sole hardness and low mid-sole thickness.⁸⁸ Static balance was best in hard-soled (low resistance) shoes.⁸⁹

Restraints

The Panel found no evidence to support restraint use for falls prevention. Restraints have been traditionally used as a falls prevention approach. However, they have major, serious drawbacks and can contribute to serious injuries. There is no experimental evidence that widespread use of restraints or, conversely, the removal of restraints, will reduce falls.^{90–93}

RESEARCH AGENDA

In the process of developing these guidelines, the Panel identified a number of issues related to falls prevention that it believes should be given high priority for future research and analysis. The Panel believes that further research will be necessary to gather sufficient evidence that

will lead to meaningful conclusions about the following concerns:

1. What is the cost effectiveness of recommended strategies?
2. Can fall-prone individuals be risk stratified in terms of whom will most benefit from assessment and interventions?
3. What are the effective elements for falls prevention among hospital inpatients?
4. How can falls best be prevented in patients with cognitive impairment and dementia?
5. What are the effective elements of exercise programs (such as type, duration, intensity, and frequency)?
6. What are the effective elements of cardiovascular programs for fall prevention?
7. For whom and when is home assessment by an occupational therapist or other home care specialist effective?
8. What is the effectiveness of assistive devices (e.g., canes and walkers/Zimmer frames) used alone as a strategy for preventing falls?
9. What is the effect of restraint removal, coupled with other specific interventions, on falls and serious injuries?
10. Does treatment of visual problems prevent falls?
11. What is the safest footwear for people who have fallen or are at risk of falling?
12. What is the role of hip protectors in persons who have fallen or are at risk of falling and what are the most effective designs?

ACKNOWLEDGMENTS

The Panel on Falls Prevention was co-chaired by Laurence Z. Rubenstein, MD, MPH, FACP, UCLA School of Medicine, Sepulveda VA GRECC, Los Angeles, CA, USA (American Geriatrics Society) and Rose Anne Kenny, MD, FRCPI, FRCP, Institute for Health of the Elderly, University of Newcastle upon Tyne, UK (British Geriatrics Society). The Vice Chair of the Panel was Kenneth J. Koval, MD, Hospital for Joint Diseases, New York, NY, USA (American Academy of Orthopaedic Surgeons).

The primary authors of the *Guideline for the Prevention of Falls in Older Persons* are Rose Anne Kenny, MD, FRCPI, FRCP; Laurence Z. Rubenstein, MD, MPH, FACP; Finbarr C. Martin, MD, FRCP, Medicine and Elderly Care, Guy's and St. Thomas Hospitals Trust, London, UK; and Mary E. Tinetti, MD, Yale University School of Medicine, New Haven, CT, USA.

The remaining members of the panel are: David F. Apple Jr., MD, Shepherd Center, Atlanta, GA, USA; Judith Anne Cantrill, BSc, MSc, FpharmS, School of Pharmacy and Pharmaceutical Sciences, University of Manchester, Manchester, UK; John T. Chang, MD, MPH, Division of General Internal Medicine and Health Services Research, UCLA School of Medicine, Los Angeles, CA, USA; Pamela W. Duncan, PhD, PT, Kansas University Medical Center on Aging, Kansas City, KS, USA; Margaret Ellis, PhD, OT, West Square Associates, London, UK; Teresita Hogan, MD, FACEP, Emergency Medicine, Resurrection Medical Center, Chicago, IL, USA; Kenneth J. Koval, MD,

Hospital for Joint Diseases, New York, NY, USA; Lewis A. Lipsitz, MD, Harvard Medical School, Beth Israel Deaconess Medical Center, Hebrew Rehabilitation Center for the Aged, Boston, MA, USA; Michael W. Rich, MD, Cardiovascular Division, Washington University School of Medicine, St. Louis, MO, USA; Neville E. Strumpf, RN, PhD, FAAN, University of Pennsylvania School of Nursing, Philadelphia, PA, USA; William Angus Wallace, MB, ChB, FRCS, FRCSEd, Orthopaedic and Accident Surgery, Queens Medical Centre, University of Nottingham, UK; and Archie Young, MD, FRCP, Department of Clinical and Surgical Sciences, University of Edinburgh, UK.

Research services were provided by Sue Radcliff, Independent Researcher, Denver, CO, USA. Editorial services were provided by Janet L. Tremaine, ELS, Tremaine Medical Communications, Dublin, OH, USA. Additional research and administrative support were provided by Adrienne Prasad, Mary Flum, and Nancy Lundebjerg, Professional Education and Publications, American Geriatrics Society, New York, NY, USA.

The following organizations with special interest and expertise in the management of falls in older persons provided peer review of a preliminary draft of this guideline: American College of Cardiology, American Academy of Ophthalmology, American Academy of Otolaryngology, American Academy of Physical Medicine & Rehabilitation, American College of Emergency Physicians, American Physical Therapy Association, British Association of Accident and Emergency Medicine, College of Occupational Therapists (UK), National Gerontological Nurses Association, Royal College of General Practitioners, Royal College of Physicians in London (England and Wales), Royal College of Nursing-Older Peoples Section, and the Society for Academic Emergency Medicine.

The guideline was a joint project of the American Geriatrics Society (AGS), the British Geriatrics Society (BGS), and the American Academy of Orthopedic Surgeons (AAOS). Funding was provided as unrestricted educational grants from Medtronic, Inc. (Minneapolis, MN, USA) and Shire Pharmaceuticals (Richwood, KY, USA). We are very grateful to Professor Gene Feder, Department of General Practice and Primary Care, St. Bartholomew's and the Royal London School of Medicine and Dentistry, London, UK, for sharing a draft copy of his falls guideline with the Panel.

REFERENCES

1. Brown AP. Reducing falls in elderly people: A review of exercise interventions. *Physiother Theory Pract* 1999;15:59-68.
2. Nevitt MC. Falls in the elderly: Risk factors and prevention. In: Masdeu JC, Sudarsky L, Wolfson L, eds. *Gait Disorders of Aging: Falls and Therapeutic Strategies*. Philadelphia: Lippincott-Raven, 1997, pp 13-36.
3. Robbins AS, Rubenstein LZ, Josephson KR et al. Predictors of falls among elderly people. Results of two population-based studies. *Arch Intern Med* 1989;149:1628-1633.
4. Rubenstein LZ, Josephson KR, Robbins AS. Falls in the nursing home. *Ann Intern Med* 1994;121:442-451.
5. Tinetti ME, Williams TF, Mayewski R. Fall risk index for elderly patients based on number of chronic disabilities. *Am J Med* 1986;80:429-434.
6. Fleming BE, Pendergast DR. Physical condition, activity pattern, and environment as factors in falls by adult care facility residents. *Arch Phys Med Rehabil* 1993;74:627-630.
7. Cumming RG, Kelsey JL, Nevitt MC. Methodologic issues in the study of frequent and recurrent health problems. Falls in the elderly. *Ann Epidemiol* 1990;1:49-56.
8. Cummings SR, Nevitt MC, Kidd S. Forgetting falls: The limited accuracy of recall of falls in the elderly. *J Am Geriatr Soc* 1988;36:613-616.

9. Jarrett PG, Rockwood K, Carver D et al. Illness presentation in elderly patients. *Arch Intern Med* 1995;155:1060–1064.
10. Campbell AJ, Spears GF, Borrie MJ. Examination by logistic regression modelling of the variables which increase the relative risk of elderly women falling compared to elderly men. *J Clin Epidemiol* 1990;43:1415–1420.
11. Rubenstein LZ, Josephson KR. The epidemiology of falls and syncope. In: Kenny RA, O'Shea D, eds. *Falls and Syncope in Elderly Patients*. Clinics in Geriatric Medicine. Philadelphia: W. B. Saunders Co., (In press) 2002.
12. Rubenstein LZ, Powers C. Falls and mobility problems: Potential quality indicators and literature review (the ACOVE Project). Santa Monica, CA: RAND Corporation, 1999, pp 1–40.
13. Bernstein AB, Schur CL. Expenditures for unintentional injuries among the elderly. *J Aging Health* 1990;2:157–178.
14. Bezon J, Echevarria KH, Smith GB. Nursing outcome indicator: Preventing falls for elderly people. *Outcomes Manag Nurs Pract* 1999;3:112–116.
15. Josephson KR, Fabacher DA, Rubenstein LZ. Home safety and fall prevention. *Clin Geriatr Med* 1991;7:707–731.
16. Donald IP, Bulpitt CJ. The prognosis of falls in elderly people living at home. *Age Ageing* 1999;28:121–125.
17. Grisso JA, Schwarz DF, Wolfson V et al. The impact of falls in an inner-city African-American population. *J Am Geriatr Soc* 1992;40:673–678.
18. Clark RD, Lord SR, Webster IW. Clinical parameters associated with falls in an elderly population. *Gerontology* 1993;39:117–123.
19. Berg WP, Alessio HM, Mills EM et al. Circumstances and consequences of falls in independent community-dwelling older adults. *Age Ageing* 1997;26:261–268.
20. Campbell AJ, Borrie MJ, Spears GF. Risk factors for falls in a community-based prospective study of people 70 years and older. *J Gerontol* 1989;44:M112–M117.
21. Davis JW, Ross PD, Nevitt MC et al. Risk factors for falls and for serious injuries on falling among older Japanese women in Hawaii. *J Am Geriatr Soc* 1999;47:792–798.
22. Kiely DK, Kiel DP, Burrows AB et al. Identifying nursing home residents at risk for falling. *J Am Geriatr Soc* 1998;46:551–555.
23. Lipsitz LA, Jonsson PV, Kelley MM et al. Causes and correlates of recurrent falls in ambulatory frail elderly. *J Gerontol* 1991;46:M114–M122.
24. Luukinen H, Koski K, Laippala P et al. Risk factors for recurrent falls in the elderly in long-term institutional care. *Public Health* 1995;109:57–65.
25. Mahoney J, Sager M, Dunham NC et al. Risk of falls after hospital discharge. *J Am Geriatr Soc* 1994;42:269–274.
26. Myers AH, Baker SP, VanNatta ML et al. Risk factors associated with falls and injuries among elderly institutionalized persons. *Am J Epidemiol* 1991;133:1179–1190.
27. Nevitt MC, Cummings SR, Kidd S et al. Risk factors for recurrent nonsyncope falls. A prospective study. *JAMA* 1989;261:2663–2668.
28. Oliver D, Britton M, Seed P et al. Development and evaluation of evidence based risk assessment tool (STRATIFY) to predict which elderly inpatients will fall: Case-control and cohort studies. *BMJ* 1997;315:1049–1053.
29. Thapa PB, Gideon P, Fought RL et al. Psychotropic drugs and risk of recurrent falls in ambulatory nursing home residents. *Am J Epidemiol* 1995;142:202–211.
30. Tinetti ME, Speechley M, Ginter SF. Risk factors for falls among elderly persons living in the community. *N Engl J Med* 1988;319:1701–1707.
31. Vellas BJ, Wayne SJ, Garry PJ et al. A two year longitudinal study of falls in 482 community-dwelling elderly adults. *J Gerontol A Biol Sci Med Sci* 1998;53A:M264–M274.
32. Leipzig RM, Cumming RG, Tinetti ME. Drugs and falls in older people: A systematic review and meta-analysis: I. Psychotropic drugs. *J Am Geriatr Soc* 1999;47:30–39.
33. Shekelle PG, Woolf SH, Eccles M et al. Developing guidelines. *BMJ* 1999;318:593–596.
34. Mathias S, Nayak US, Isaacs B. Balance in elderly patients: The “get-up and go” test. *Arch Phys Med Rehabil* 1986;67:387–389.
35. Podsiadlo D, Richardson S. The timed “Up & Go”: A test of basic functional mobility for frail elderly persons. *J Am Geriatr Soc* 1991;39:142–148.
36. Campbell AJ, Robertson MC, Gardner MM et al. Psychotropic medication withdrawal and a home-based exercise program to prevent falls: A randomized controlled trial. *J Am Geriatr Soc* 1999;47:850–853.
37. Close J, Ellis M, Hooper R et al. Prevention of falls in the elderly trial (PRO-FET): A randomised controlled trial. *Lancet* 1999;353:93–97.
38. Coleman EA, Grothaus LC, Sandhu N et al. Chronic care clinics: A randomized controlled trial of a new model of primary care for frail older adults. *J Am Geriatr Soc* 1999;47:775–783.
39. Gallagher EM, Brunt H. Head over heels: Impact of a health promotion program to reduce falls in the elderly. *Can J Aging* 1996;15:84–96.
40. Hornbrook MC, Stevens VJ, Wingfield DJ et al. Preventing falls among community-dwelling older persons: Results from a randomized trial. *Gerontologist* 1994;34:16–23.
41. Steinberg M, Cartwright C, Peel N et al. A sustainable programme to prevent falls and near falls in community dwelling older people: Results of a randomised trial. *J Epidemiol Community Health* 2000;54:227–232.
42. Stevens VJ, Hornbrook MC, Wingfield DJ et al. Design and implementation of a falls prevention intervention for community-dwelling older persons. *Behav Health Aging* 1992;2:57–73.
43. Tinetti ME, Baker DI, McAvay G et al. A multifactorial intervention to reduce the risk of falling among elderly people living in the community. *N Engl J Med* 1994;331:821–827.
44. Tinetti ME, McAvay G, Claus E. Does multiple risk factor reduction explain the reduction of fall rate in the Yale FICSIT trial? *Am J Epidemiol* 1996;144:389–399.
45. Vetter NJ, Lewis PA, Ford D. Can health visitors prevent fractures in elderly people? *BMJ* 1992;304:888–890.
46. Wagner EH, LaCroix AZ, Grothaus L et al. Preventing disability and falls in older adults: a population-based randomized trial. *Am J Public Health* 1994;84:1800–1806.
47. Ray WA, Taylor JA, Meador KG et al. A randomized trial of a consultation service to reduce falls in nursing homes. *JAMA* 1997;278:557–562.
48. Rubenstein LZ, Robbins AS, Josephson KR et al. The value of assessing falls in an elderly population. *Ann Intern Med* 1990;113:308–316.
49. Oliver D, Hopper A, Seed P. Do hospital fall prevention programs work? A systematic review. *J Am Geriatr Soc* 2000;48:1679–1689.
50. Buchner DM, Cress ME, deLateur BJ et al. The effect of strength and endurance training on gait, balance, fall risk, and health services use in community-living older adults. *J Gerontol A Biol Sci Med Sci* 1997;52A:M218–M224.
51. Lord SR, Ward JA, Williams P et al. The effect of a 12-month exercise trial on balance, strength, and falls in older women: A randomized controlled trial. *J Am Geriatr Soc* 1995;43:1198–1206.
52. McMurdo ME, Mole PA, Paterson CR. Controlled trial of weight bearing exercise in older women in relation to bone density and falls. *BMJ* 1997;314:569.
53. Campbell AJ, Robertson MC, Gardner MM et al. Falls prevention over 2 years: A randomized controlled trial in women 80 years and older. *Age Ageing* 1999;28:513–518.
54. Province MA, Hadley EC, Hornbrook MC et al. The effects of exercise on falls in elderly patients: A preplanned meta-analysis of the FICSIT trials. Frailty and Injuries: Cooperative Studies of Intervention Techniques. *JAMA* 1995;273:1341–1347.
55. Rubenstein LZ, Josephson KR, Trueblood PR et al. Effects of a group exercise program on strength, mobility and falls among fall-prone elderly men. *J Gerontol A Biol Sci Med Sci* 2000;55A:M317–M321.
56. Wolf SL, Barnhart HX, Kutner NG et al. Reducing frailty and falls in older persons: an investigation of Tai Chi and computerized balance training. Atlanta FICSIT Group. Frailty and Injuries: Cooperative Studies of Intervention Techniques. *J Am Geriatr Soc* 1996;44:489–497.
57. McMurdo ME, Millar AM, Daly F. A randomized controlled trial of fall prevention strategies in old peoples' homes. *Gerontology* 2000;46:83–87.
58. Means KM, Rodell DE, O'Sullivan PS et al. Rehabilitation of elderly fallers: Pilot study of a low to moderate intensity exercise program. *Arch Phys Med Rehabil* 1996;77:1030–1036.
59. Mulrow CD, Gerety MB, Kanten D et al. A randomized trial of physical rehabilitation for very frail nursing home residents. *JAMA* 1994;271:519–524.
60. Cumming RG, Thomas M, Szonyi G et al. Home visits by an occupational therapist for assessment and modification of environmental hazards: A randomized trial of falls prevention. *J Am Geriatr Soc* 1999;47:1397–1402.
61. Northridge ME, Nevitt MC, Kelsey JL et al. Home hazards and falls in the elderly: The role of health and functional status. *Am J Public Health* 1995;85:509–515.
62. Plautz B, Beck DE, Selmar C et al. Modifying the environment: A community-based injury-reduction program for elderly residents. *Am J Prev Med* 1996;12:33–38.
63. Sattin RW, Rodriguez JG, DeVito CA et al. Home environmental hazards and the risk of fall injury events among community-dwelling older persons. Study to Assess Falls among the Elderly (SAFE) Group. *J Am Geriatr Soc* 1998;46:669–676.
64. Thompson PG. Preventing falls in the elderly at home: A community based program. *Med J Aust* 1996;164:530–532.
65. Weber J, Kehoe T, Bakoss M et al. Safety at home: A practical home injury control program for independent seniors. *Caring* 1996;15:62–66.
66. Dean E, Ross J. Relationships among cane fitting, function, and falls. *Phys Ther* 1993;73:494–504.
67. Tideiksaar R, Feiner CF, Maby J. Falls prevention: The efficacy of a bed alarm system in an acute care setting. *Mt. Sinai J Med* 1993;60:522–527.
68. Kannus P, Parkkari J, Niemi S, et al. Prevention of hip fracture in elderly people with use of a hip protector. *N Engl J Med* 2000;343:1506–1513.

69. Royal College of Physicians. Osteoporosis—clinical guidelines for the prevention and treatment [On-line]. Available: <http://www.open.gov.uk/doh/osteop.htm>, 1999.
70. Agency for Healthcare Research and Quality. Osteoporosis guidelines. National Guideline Clearinghouse [On-line]. Available: <http://www.guideline.gov>, 2000.
71. Tennstedt S, Howland J, Lachman M et al. A randomized, controlled trial of a group intervention to reduce fear of falling and associated activity restriction in older adults. *J Gerontol B Psychol Sci Soc Sci* 1998;53B:P384–P392.
72. Baraff LJ, Lee TJ, Kader S et al. Effect of a practice guideline on the process of emergency department care of falls in elder patients. *Acad Emerg Med* 1999;6:1216–1223.
73. Baraff LJ, Lee TJ, Kader S et al. Effect of a practice guideline for emergency department care of falls in elder patients on subsequent falls and hospitalizations for injuries. *Acad Emerg Med* 1999;6:1224–1231.
74. Agency for Healthcare Research and Quality. Hormone replacement therapy guidelines. National Guideline Clearinghouse [On-line]. Available: <http://www.guideline.gov>, 2000.
75. Crilley JG, Herd B, Khurana CS et al. Permanent cardiac pacing in elderly patients with recurrent falls, dizziness and syncope, and a hypersensitive cardioinhibitory reflex. *Postgrad Med J* 1997;73:415–418.
76. Dey AB, Stout NR, Kenny RA. Cardiovascular syncope is the most common cause of drop attacks in the elderly. *Pacing Clin Electrophysiol* 1997;20:818–819.
77. Gordon M, Huang M, Gryfe CI. An evaluation of falls, syncope and dizziness by prolonged ambulatory cardiographic monitoring in a geriatric institutional setting. *J Am Geriatr Soc* 1982;30:6–12.
78. McIntosh S, DaCosta D, Kenny RA. Outcome of an integrated approach to the investigation of dizziness, falls and syncope in elderly patients referred to a 'syncope' clinic. *Age Ageing* 1993;22:53–58.
79. O'Mahony D, Foote C. Prospective evaluation of unexplained syncope, dizziness, and falls among community dwelling elderly adults. *J Gerontol A Biol Sci Med Sci* 1998;53A:M435–M440.
80. Richardson DA, Bexton RS, Shaw FE et al. Prevalence of cardioinhibitory carotid sinus hypersensitivity in patients 50 years or over presenting in the accident and emergency department with "unexplained" or "recurrent" falls. *Pacing Clin Electrophysiol* 1997;20:820–823.
81. Kenny RA, Traynor G. Carotid sinus syndrome—clinical characteristics in elderly patients. *Age Ageing* 1991;20:449–454.
82. Parry SW, Richardson DA, O'Shea D et al. Diagnosis of carotid sinus hypersensitivity in older adults: Carotid sinus massage in the upright position is essential. *Heart* 2000;83:22–23.
83. Jack CI, Smith T, Neoh C et al. Prevalence of low vision in elderly patients admitted to an acute geriatric unit in Liverpool: Elderly people who fall are more likely to have low vision. *Gerontology* 1995;41:280–285.
84. Glynn RJ, Seddon JM, Krug JH et al. Falls in elderly patients with glaucoma. *Arch Ophthalmol* 1991;109:205–210.
85. Ivers RQ, Cumming RG, Mitchell P et al. Visual impairment and falls in older adults: The Blue Mountains Eye Study. *J Am Geriatr Soc* 1998;46:58–64.
86. Arnadottir SA, Mercer VS. Effects of footwear on measurements of balance and gait in women between the ages of 65 and 93 years. *Phys Ther* 2000;80:17–27.
87. Lord SR, Bashford GM. Shoe characteristics and balance in older women. *J Am Geriatr Soc* 1996;44:429–433.
88. Robbins S, Waked E, Allard P et al. Foot position awareness in younger and older men: The influence of footwear sole properties. *J Am Geriatr Soc* 1997;45:61–66.
89. Robbins S, Waked E, Krouglicof N. Improving balance. *J Am Geriatr Soc* 1998;46:1363–1370.
90. Capezuti E, Evans L, Strumpf N et al. Physical restraint use and falls in nursing home residents. *J Am Geriatr Soc* 1996;44:627–633.
91. Capezuti E, Strumpf NE, Evans LK et al. The relationship between physical restraint removal and falls and injuries among nursing home residents. *J Gerontol A Biol Sci Med Sci* 1998;53A:M47–M52.
92. Evans LK, Strumpf NE, Allen-Taylor SL et al. A clinical trial to reduce restraints in nursing homes. *J Am Geriatr Soc* 1997;45:675–681.
93. Tinetti ME, Liu WL, Ginter SF. Mechanical restraint use and fall-related injuries among residents of skilled nursing facilities. *Ann Intern Med* 1992;116:369–374.